COMPLIANCE · ANTI-MONEY LAUNDERING

HORIZON CAPITAL provides trading services involving financial market transactions. We have established comprehensive policies to maintain lawful international operations and comply with applicable legislation.

Our Anti-Money Laundering (AML) Policy defines how HORIZON CAPITAL identifies, prevents, and reports unlawful financial activity.

01

Our Mission

The Anti-Money Laundering Policy aims to prevent unlawful activities, including financial fraud, tax evasion, embezzlement, terrorist financing, and operations covering illicitly acquired money.

02

Our Commitment

Money laundering is a serious financial crime. HORIZON CAPITAL reserves the right to cooperate with relevant authorities if a Client is proven to be involved in any laundering operations.

Our Standards

We observe a strict code of conduct, procedures, and policies to detect and prevent any financial crime within our business operations. All employees, partners, and service providers of HORIZON CAPITAL are held to these same standards.

In line with the AML Policy, HORIZON CAPITAL strictly adheres to its Know-Your-Client (KYC) Policy, which verifies Client identity. Clients agree to fulfill the obligations of the KYC and AML Policies to maintain lawful business operations with the Company.

01

Identity Verification

Proper identification of all Clients participating in transactions.

02

Source of Funds

Disclosure of the sources of funds used for trading and submission of the required documents.

03

Transaction Records

Maintaining records of financial transactions to track daily operations.

04

Audit Compliance

Records used to audit Suspicious Transaction Reports submitted to the relevant administration.

AUTHORIZATION By performing transactions with HORIZON CAPITAL, Clients authorize the Company and its service providers to collect these records. For privacy and security reasons, the Company has the right to refuse requests to provide records in order to prevent illegal use.

Internal Audit Measures

HORIZON CAPITAL's internal audit includes internal control regulations and a code of conduct for applying due diligence measures, especially for politically exposed individuals.

The audit identifies whether Clients reside in countries without ample means of preventing money laundering and terrorist financing, or whether Clients are involved in such activities. International sanctions also apply.

Risk-Based Approach

Low risk: simplified due diligence measures may apply, but relevant procedures are never disregarded.

High risk: additional measures are applied to verify Client identity and background.

Activity Assessment

Identifying Client risk from field of activity, legal form, management structure, and whether part of a civil law partnership or trust fund.

Geographic Factors

Evaluating whether the Client's residency is in territories with low tax rates or inadequate regulations for preventing unlawful financing.

Transaction Patterns

Monitoring for unusual or economically impracticable transactions, including constant information changes and rapid capital increases.

Background Screening

Stricter measures for Clients with backgrounds involving weapons, crime, or cash-intensive operations such as currency exchange and gambling.

No Anonymous Transactions

HORIZON CAPITAL will not enter into operations or establish relationships with anonymous or unidentified users. Third-party transactions require power of attorney, subject to Company approval.

Right to Refuse Service

The Company may waive operations and terminate transactions with any user who does not provide sufficient information or appropriate documentation, or who fails to disclose the transaction purpose and background information.

Consistent Application

Internal procedures and policies apply to all Clients, even those known personally or referred by employees or representatives.

Regular Updates

HORIZON CAPITAL regularly updates data records and operating profiles to ensure the validity of each Client's risk level and freedom from criminal records, especially those relating to financial activities.

RISK DISCLOSURE Trading with currencies, Contracts for Differences (CFDs), and other leveraged products involves considerable risk exposure. Market volatility may substantially affect the price and liquidity of an asset, and it is possible to lose some or all of the invested capital. Carefully assess your investment objectives, experience level, and risk appetite before trading. Do not use funds you are not prepared to lose and seek independent advice if necessary.